CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Mereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 450, Alexandria

Date: August 16, 2004 Name: Meredith Martin Addy

Signature:

BRINKS HOFER GILSON &LIONE

N THE UNITED	STATES PATENT	AND TRADEMARK	OFFICE

In re Appln. of: ANSGAR RINSCHEID

Appln. No.:

10/769,028

Filed:

January 29, 2004

For:

Sir:

SYSTEM FOR SPEECH RECOGNITION

Attorney Docket No:

11336-677 (P01045 US)

Mail Stop Amendment Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL

Examiner: To Be Assigned

Art Unit: 2641

Attached	is/are:

\boxtimes	Information Disclosure Statement (in duplicate) From PTO-1449, and four (4) cited references (A1-A4).
\boxtimes	Return Receipt Postcard
Fee c	calculation:
\boxtimes	No additional fee is required.
	Small Entity.
	An extension fee in an amount of \$ for amonth extension of time under 37 C.F.R. § 1.136(a).
	A petition or processing fee in an amount of \$ under 37 C.F.R. § 1.17().
	An additional filing fee has been calculated as shown below:

				Sma	II Entity	ļ	Not a S	mall Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$9=	,		x \$18=	
Indep.		Minus			x 43=			x \$86=	
First Pre	esentation of Multiple D	ep. Claim	1		+\$145=			+ \$290=	
			7		Total	s		Total	\$

Fee payment:

	Please charge Deposit Account No. 23-1925 in the amount of \$ for this purpose.	. A copy of this Transmittal is enclosed
	Payment by credit card in the amount of \$ (Form PTO-2038	is attached).
\boxtimes	The Director is hereby authorized to charge payment of any additional and any patent application processing fees under 27 CER & 1.	

and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit

Account No. 23-1925.

Jugust 16, 2004

A check in the amount of \$_____ is enclosed.

Mullin Aday (Reg. No. 37,883)

AUG 1 8 2004 & CERTYFICATE OF MAILING

I hereby this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to:

Mail Stop Amendment, Commissioner for Patents,
P.O. Box 1450, Alexandria, VA 22313-1450, on

August 16, 2004

Date of Deposit

Meredith Martin Addy, Reg. No. 37,883

Name of Applicant, Assignee or Registered Representative

Signature

Date of Signature

Our Case No.: 11336/677 (P01045US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: TBA

Group Art Unit No.: 2641

In re Application of:

ANSGAR RINSCHEID

Serial No.: 10/769,028

Filing Date: January 29, 2004

For:

SYSTEM FOR SPEECH

RECOGNITION

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents are enclosed for the convenience of the Examiner.

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The references now cited are the following:

. . t

US PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME
6,065,003	05/16/2000	SEDLUK

OTHER ART REFERENCES

European Search Report for an EP Application No. EP 03002067.1, dated June 13, 2003, 2 pages

Bahl, Lalit R. et al., "A Fast Approximate Acoustic Match for Large Vocabulary Speech Recognition," *IEEE Transactions on Speech and Audio Processing*, No. 1, January 1993, pp 59-67.

Owolabi, O. et al., "Fast Approximate String Matching," *Software – Practice and Experience*, Vol. 18, No. 4, April 1988, pp. 387-393.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Meredith Martin Addy Registration No. 37,883 Attorney for Applicant

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 Tel. (312) 321-4200 Fax (312) 321-4299

AUG 1 8 2004		
FORM PJOS 449	SERIAL NO. 10/769,028	CASE NO. 11336/667 (P01045US)
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE January 29, 2004	GROUP ART UNIT 2641
(use several sheets if necessary)	APPLICANT(S): Ansgar Rinsc	heid

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	6,065,003	05/16/2000	SEDLUK		
						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	(I syr	OTHER ART – NON PATENT LITERATURE DOCUMENTS nclude name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, mposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.
	A2	European Search Report for an EP Application No. EP 03002067.1, dated June 13, 2003, 2 pages.
	A3	Bahl, Lalit R. et al., "A Fast Approximate Acoustic Match for Large Vocabulary Speech Recognition," <i>IEEE Transactions on Speech and Audio Processing</i> , No. 1, January 1993, pp 59-67.
	A4	Owolabi, O. et al., "Fast Approximate String Matching," Software Practice and Experience, Vol. 18, No. 4, April 1988, pp. 387-393.

EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.